

Biological Mechanisms of Noise in Gene Expression

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How could we explain that genetically identical cells such as clones are not totally identical? They differ by the rate of their gene expression. This phenomenon is particularly due to non-deterministic fluctuations, or noise, of biological mechanisms. Noise can be divided into two classes. Extrinsic noise arises from fluctuations of the environment, from inevitable variations in the random partitioning of molecules between daughter cells when cells divide but also from the heterogeneity of cell size and shape and cell cycle stage. Intrinsic noise is due to inherent stochasticity of biochemical processes such as transcription and translation. Thus, it has been demonstrated that proteins are produced in dynamic rate because mRNAs are transcribed in pulses or bursts. Noise propagation can be affected by regulatory circuits which can either be detrimental for cells or confer a selective advantage on them.

Extrinsic noise versus intrinsic noise

Introduction

Any individual in a population of living organisms or cells is unique. Most inter-individual phenotypic variabilities are due to genetic differences. So how could we explain that clones are not totally identical? Environment and history seem to contribute in variability in cellular phenotypes. Over the last few years, many scientists have tried to explain that phenomenon. Now, we know that clones for instance differ by the rate of their gene expression, as defined by the set of reactions controlling the abundance of gene products. The term of *noise* or *stochasticity* in gene expression is commonly used to refer to the measured level of variation in gene expression among isogenic cells (i.e., genetically identical), grown homogeneously in a common environment. To date, four potential sources of noise have been described [1]**:

- “The random nature of chemical reactions within a cell;
- The differences in the internal states of a population of cells, either from a predictable process such as cell cycle progression or from random processes such as partitioning of mitochondria during cell division;
- Subtle environment differences;
- Genetic mutations”.

Here, we propose to review the molecular sources of the inherent stochasticity of gene expression and to describe some characteristics of noise. We will try to define the particular relation that can exist between noise and regulatory networks and how, in some cases, that can lead to the emergence of diseases. This review will probably be helpful to discuss the role of noise in the evolution.

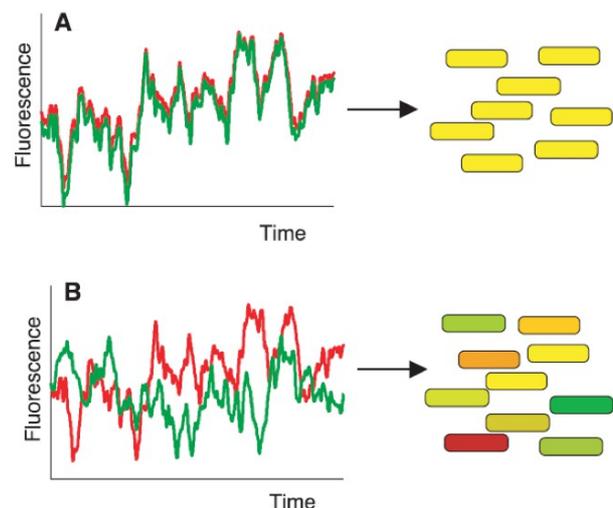


Figure 1: Two sources lead to variations in gene expression: intrinsic and extrinsic noise. (From Elowitz et al., [4]**). Elowitz et al., [4]** constructed strains by integrating two reporter genes, *cfp* (green) and *yfp* (red) controlled by identical promoters. Those bacteria enable the discrimination of two sources of noise: extrinsic noise leads to cells expressing the same amount of both protein and appear in yellow (A) whereas intrinsic noise leads to cells expressing different amount of each proteins and appear in red or green.

Stochasticity in gene expression has been suggested some years ago to be the source of cell-to-cell variations among isogenic populations [2]. Thus far, it was difficult to determine experimentally whether the variation in the product of a given gene came from fluctuations in cellular components that could lead indirectly to variation in expression of the gene [3] or noise in expression of the gene itself. Elowitz et al., used the model of *Escherichia coli* to better understand the sources of noise. They constructed strains that enable the discrimination of the two types of noise: extrinsic and intrinsic (Figure 1) [4]**.

Then, extrinsic noise has been better characterized. It arises from fluctuations of the environment, from inevitable variations in the random partitioning of molecules between daughter cells when cells divide but also from the heterogeneity of cell size and shape and cell cycle stage. Those elements are global for a single cell but vary from one cell to another. To explain the relationship between the noise and the size of a cell, Kørn et al., took the example of a protein that can freely move from the cytoplasm to the nucleus [5]. At equilibrium, both the cytoplasm and the nucleus concentrations are equal but if one single protein translocates into the nucleus, then the consequences onto the nucleus concentration would be stronger than consequences of a protein translocating into the cytoplasm because the volume of the latter is bigger. Additionally, it has been shown that extrinsic noise can also arise from variations in the amount of transcriptional activators common to all genes such as RNA polymerase II [6]. Regarding cell cycle, extrinsic fluctuations can be reduced by isogenic cell synchronisation.

Now, if we consider a totally theoretical population of cells that are identical not only genetically but also in the concentration and state of their cellular components, then such population would still vary from cell-to-cell because of the random and inherent stochasticity of biochemical processes such as transcription and translation [4]*. Because most of the reagents that participate in these chemical reactions are present in extremely low concentration in cells, noise in chemical reactions is inherent. Raser and O'Shea measured the intrinsic noise strength of various yeast promoters at different rate of expression and demonstrate that noise in gene expression does not always depend on the rate of expression [7]*. Indeed, *GAL1* and *PHO84* promoters exhibit a low level of intrinsic noise which does not vary with a variation on the rate of expression. Inversely, *PHO5* promoter exhibits a larger intrinsic noise which decreases while increasing the rate of gene expression. Moreover, intrinsic noise seems to be promoter-specific: even if *PHO84* and *PHO5* have opposite noise characteristics, they share a common transcriptional regulator.

Thus, intrinsic noise is an important source of random fluctuation because of its key role in variations among isogenic populations.

Intrinsic noise: a particular framework

Over the last years, research on intrinsic stochasticity has converged to a unique framework to explain gene expression noise (Figure 2 and Box 1). That framework can be divided into three steps. First, proteins are produced in dynamic rate rather than in a uniform rate because mRNAs are transcribed in bursts. Secondly, at protein level, the burst is buffered due to their long lifetime. Finally, noise produced by a given gene can propagate.

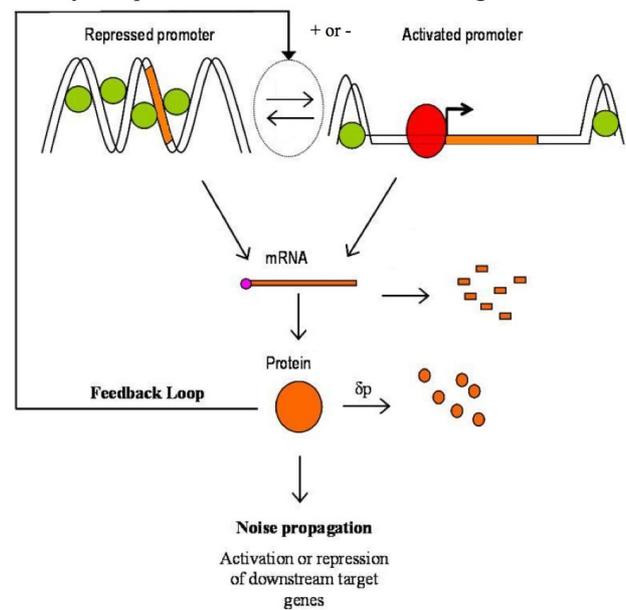


Figure 2: Intrinsic noise: a particular framework. (Adapted from Kørn et al., [5]). Intrinsic noise can be divided into three steps: first mRNAs are produced in bursts because of stochastic transitions from repressed promoter state to activated promoter state and vice versa. Secondly, the burst production of proteins is buffered because of their slow degradation rate. Finally, noise propagates through because the level of proteins expression can influence downstream target genes. Feedback loops can exist. Positive ones tend to increase the noise whereas negative feedback loops tend to reduce it.

Transcriptional noise

It has been further demonstrated that transcription of individual genes in eukaryotic cells occurred stochastically and infrequently. This means that for any given gene, mRNAs are produced in pulse or burst, reflecting the inherently stochastic nature of gene expression [8]*. Three kinetics mechanisms of promoter transcriptional activation has been described (Figure 3) [7]*:

Case 1) "The activation step is infrequent compared to the transcription and the active promoter is stable".

Case 2) Identical to the Case 1 but the active promoter is unstable.

Case 3) "The activation step is frequent compared to transcription and the activated promoter is highly unstable".

Raser et O'Shea performed stochastic simulations by varying whether promoter activation step or transcriptional efficiency in order to see how a change in the steady-state mean of gene expression could affect the intrinsic noise strength. They showed that in case 1, promoter activation strongly decreases the noise strength whereas this latter strongly increases while increasing transcriptional efficiency. For case 2, the profile is roughly the same but varying these kinetic constants affects the noise strength much less. The case 3 seems to be the one which produces the less noise regardless of variations.

Box 1: Rate equations of stochastic models of gene expression. (Adapted from Kærn et al. [5])

It is possible to use mathematical models to describe noise in gene expression by using rate equations that take into account parameters such as:

- the state of promoter activation (K_a) and repression (δ_a);
 - the rate of both mRNA synthesis (K_{m1} , K_{m2}) and degradation (δ_m);
 - the rate of both proteins synthesis (K_p) and degradation (δ_p)
- V , the cell volume.

It is then possible to determine both mRNA (1) and proteins (2) concentrations.

$$1) \frac{d[M]}{dt} = \frac{K_a}{K_a + \delta_a} \cdot \frac{K_{m1}}{V} + \frac{\delta_a}{K_a + \delta_a} \cdot \frac{K_{m2}}{V} - \delta_m[M]$$

$$2) \frac{d[P]}{dt} = K_p[P] - \delta_p[P]$$

$(K_a / (K_a + \delta_a))$ and $(\delta_a / (K_a + \delta_a))$ represent fraction of time that promoter spends on both active and inactive state respectively. That parameter must be taken into account because mRNA concentration can only be calculated at equilibrium. Therefore, the production of mRNA is supposed to be constant. mRNA concentration is then given by the average synthesis from both active and inactive promoters.

These stochastic simulations underlie three means for a cell to up-regulate its transcription: by increasing the rate of gene activation; increasing the rate of transcription when the gene is in an active state and finally, by decreasing the rate of gene inactivation [6]*.

Since experimental techniques allow the distinction between extrinsic and intrinsic noise, many studies have been done to determine whether stochastic gene expression originating from mRNAs bursts could be controlled by biological mechanisms.

The study of the *PHO5* yeast promoter enabled to classify that promoter into the case 1 noise strength profile [7]*. It has been hypothesized that at the inactive state, *PHO5* promoter displays positioned nucleosomes. The binding of the Pho4 transcription factor to upstream activating sequences *UAS1* and

UAS2 allow the recruitment of chromatin-remodelling complexes that remove nucleosomes away from *PHO5* promoter which becomes accessible for transcription. Indeed, mutations in these *UAS* sites prevent the nucleosomal disruption leading to a less efficient *PHO5* activation. At the same time, the two *PHO5* *UAS* mutants have a higher strength noise compared to the wild type, which promoter activation decreases the noise strength. Remodelling *PHO5* promoter requires multiple chromatin remodelling complexes such as SWI/SNF, INO80 and SAGA [9 - 11].

To test further the hypothesis that *PHO5* promoter activation step requires chromatin remodelling, the noise strength after induction of *PHO5* promoter was measured in yeast mutants lacking one of these three chromatin remodelling complexes. All resulted in

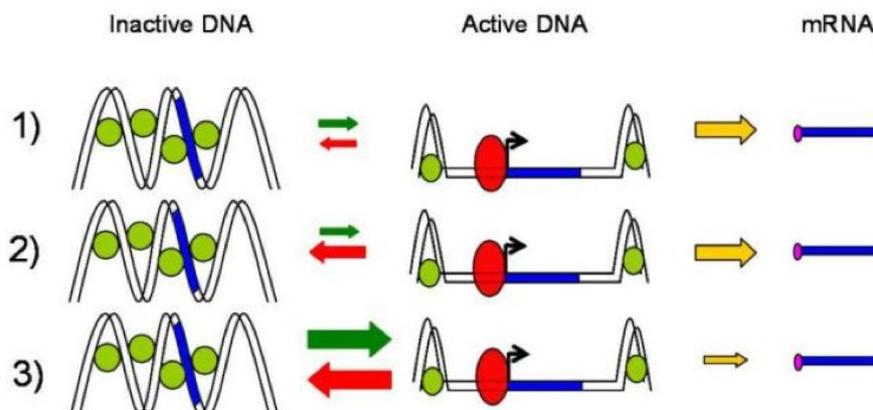


Figure 3: Three kinetics mechanisms of promoter transcriptional activation. (Adapted from Raser JM and O'Shea EK [1]**). **Case 1** "The activation step is infrequent compared to the transcription and the active promoter is stable". That could correspond to a promoter that is activated or inactivated by slow chromatin remodelling in which nucleosomes are remove or replace on the DNA. **Case 2** Identical to the Case 1 but the active promoter is unstable. That could correspond to a faster and more reversible activation step associated with nucleosome sliding. **Case 3** "The activation step is frequent compared to transcription and the activated promoter is highly unstable. That event could be associated with rapid activator binding-dissociation reactions in which transcription occurs for a fraction of the binding events". That third case seems to be more likely seen in prokaryotes.

increased noise strength consistent with hypothesis made in case 1 stochastic model

Ansel *et al.*, used the inheritable characteristic of noise to further investigate its genetic control [12]*. Indeed, they supposed that if noise was controlled by genetic mechanisms, then it should be heritable through yeast strains generations. They used two yeast strains with different background showing different levels of noise from *Met17* promoter whereas the mean expression of that gene was identical. They introduce *GFP* gene under the expression of *Met17* promoter at the *HIS* genomic locus in segregants issued from a cross between these two strains and put in evidence that both noise and mean were under genetic control. After screening, they identified a QTL (Quantitative trait loci) from position 116330 to 207819 on chromosome V that has been found to confer high noise level in yeast. In that locus, the presence of *URA3* gene was tested to determine whether that gene has a role in noise modulation. It has been shown that noise in *ura3Δ0* mutant lacking the entire *URA3* gene was larger than in wild type yeast. Thus, *URA3* seems to play an important role in decreasing noise. It is important to notice that these genetic mechanisms controlling noise level involve trans-regulation because none of them were located near the *HIS3* or *Met17* genes.

Ura3Δ0 mutation leads to an inhibition of uracil synthesis; the pool of nucleotides available for RNA synthesis is then reduced [13]. Thus, the authors investigated whether transcriptional elongation was involved into noise. To this aim, they measured the noise level of *dst1/ppr2Δ0* yeast mutant. *DST1/PPR2* normally encodes the transcription elongation factor SII (TFIIS) that stimulates transcript elongation by binding to RNA polymerase II and facilitating its passage through intrinsic pausing sites in vitro [14]. They observed a dramatic increase of noise meaning that impairing transcriptional elongation can increase the gene expression noise. Thus, their study revealed that a QTL including *URA3* and *DST1* genes can control the level of noise by decreasing it.

Other sites such as TATA box sequences, which are dispensable for chromatin remodelling but important for transcriptional efficiency, seem to play a role in increasing the noise strength. This phenomenon has been particularly observed for the *PHO5* yeast promoter activation [7]*.

The TATA box sequences play an important role in assembling the transcription machinery at promoters [15]. Transcription activation is coordinated with the binding of TATA binding protein (TBP) onto TATA box consensus sequences through interactions with coactivators complexes such as TFIID (Transcription factorIID) or SAGA (Spt-Ada-Gcn5- Acetyltransferase [16 - 18]. Then, these complexes recruit the RNA polymerase II that starts the transcription. In yeast, only 20% of total genes contain a TATA box. However, TATA-less promoters also require TBP for function [19]. By searching for new TATA-like consensus sequences, Basehoar *et al.*, found that there are two types of genes in yeast: the TATA box-containing genes that are highly regulated and associated with response to stress and the TATA-less promoters, more likely associated with housekeeping genes [20]*. The former preferentially uses SAGA rather than TFIID used by the latter.

A study made on the TATA containing SAGA regulated *PDR5* gene, that encodes a protein related to the large ABC family of transporters [21], has demonstrated that genes regulated by the coactivator SAGA are likely to be transcribed in pulse. Indeed, it seems that at least in yeast, many genes showing high variation in protein level are regulated by SAGA and contain a highly conserved TATA box sequences [22, 23]*. Finally, even in mammalian cells, gene expression is subject to large and intrinsic fluctuations. To investigate the mechanisms controlling transcriptional bursts in CHO cells (Chinese hamster ovary), Raj *et al.*, altered the global level of transcription both by changing the amount of transcriptional activators present in cells and by changing the number of binding sites for that activator [6]*. In this aim, the *YFP* gene was inserted downstream a minimal cytomegalovirus promoter. Upstream of that promoter were inserted one or seven copies of tetracycline tet operator sequence allowing the transcription only when a tet transactivator protein binds to the operator sequence. The tet transactivator protein can be prevented to bind to DNA by using tetracyclin-like antibiotic doxycycline. Thus, it becomes possible to control the level of free tet transactivator in cells by varying the concentration of doxycycline (Figure 4).

They found that increasing either transcriptional factor binding sites or the amount of transcriptional

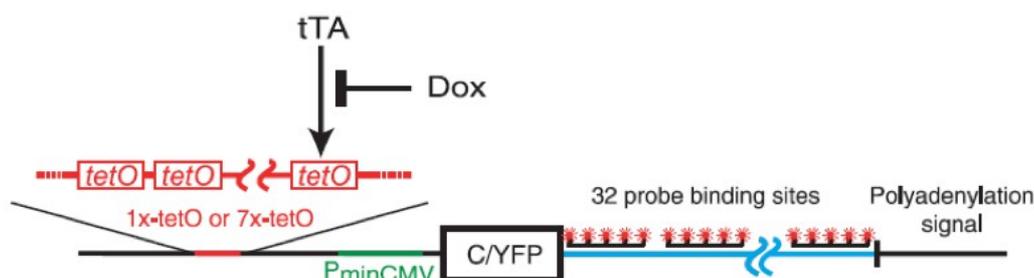


Figure 4: In mammalian cells, gene expression is subject to large and intrinsic fluctuations. (From Raj *et al.*, [6]*). Schematic diagram of the *YFP* gene controlled by one or seven copies tetracycline *tet* operator sequences. The transcription is possible only when tet transactivator protein tTA binds to the operator sequence. Doxycycline binds to tTA preventing the transcription.

activators in cells increased the average burst size rather than their frequency. Nevertheless, it was impossible to determine relative to the framework explaining gene expression noise, whether that increase in burst size was due to a decrease of gene inactivation state or an increase of gene activation state.

The long lifetime of proteins acts as a buffer

A theoretical model based on yeast suggests that “frequent transcription followed by inefficient translation results in lower intrinsic noise in protein levels than does infrequent transcription followed by efficient translation” (Figure 5) [1]**. Moreover, it has been proposed that yeasts can adopt two strategies to produce a given amount of any proteins [24, 25]: (1) They can maximize their transcription and minimize their translation per mRNA. This leads to low stochasticity due to a minimization of noise at protein level. (2) A maximization of translation per mRNA correlated with a minimization of transcription will result in larger noise due to high translation.

So, we could imagine for instance in the case of some essential proteins, that fluctuations in their level could be more detrimental to cells than fluctuations in the level of other proteins. Essential genes (i.e., genes which depletion onto both alleles is lethal) would have high transcription rates associated with low number of translation per mRNA so that noise could be minimized. It is important to notice that there is a correlation between gene's dispensability (as defined as “the growth defect of a yeast strain missing that gene in rich glucose medium” [24]*) and its rate of protein production. This means that essential genes are more tightly controlled than non essential ones. In order to test whether essential genes tend to adopt preferentially the first strategy regarding to non essential genes, Fraser *et al.*, classified more than 4,000 genes into 15 bins dependently of their protein production rate. Bins were then separated into three classes depending on their number of translation per mRNA. It appeared that most of the essential genes have significantly both the higher transcription rate and the lower translation rate leading in low noise at protein

level. Those results were confirmed mathematically [23]* and in the same time, studies have shown that noise level is even lower for haploinsufficient genes (i.e., dose-sensitive genes, defined as “genes that reduce growth when their rate is decreased by half in heterozygotes”) [26, 27]**.

Essential proteins and dosage-sensitive genes are not the only ones exhibiting low noise level. Fraser *et al.*, also took an interest on proteins that participate in stable protein complexes. Genes encoding them also have high level of transcription per mRNA and low level of translation. Genes encoding subunits of protein complexes have to be tightly regulated; producing too little or too more of a subunit could compromise the assembly of the complex. Thereby, controlling noise for essential genes or genes encoding complex subunits can prevent a waste of energy for cells.

Nevertheless, it has been shown in mammalian cells that burst in gene expression could be buffered at protein level by slow protein degradation rate [6]*; this happens when the proteins halftime is longer than that of mRNAs. That phenomenon makes difficult the analysis of subtle intrinsic noise which often requires the use of long halftime fluorescent proteins.

Noise propagation

Living cells use complex networks composed of interacting genes and proteins to implement various cellular and developmental programs. These network architectures are difficult to study because they depend on cellular states and on cell context. Gene regulations occur with a delay. Indeed, one must take into account that protein concentrations have to be sufficient to have a regulatory effect on downstream target genes. Such delay does not occur for extrinsic noise because it affects all genes over time. So, by following the expression of multiple genes over time in individual cells, it becomes possible to discriminate extrinsic noise correlations from regulatory correlations (correlation could be defined as protein concentrations of the multiple genes observed). Thus, in order to further understand noise correlations, several studies have been done by introducing simple synthetic gene circuits into the *Escherichia coli* model [28, 29]**.

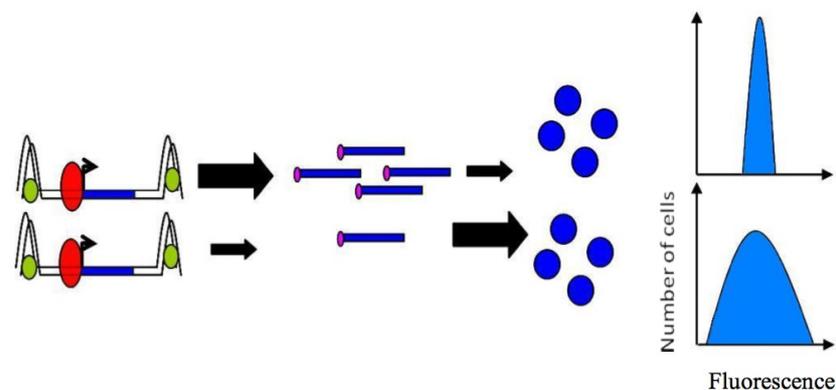


Figure 5: Control of noise. (Adapted from Raser JM and O’Shea EK [1]**). Frequent transcription followed by inefficient translation (**top**) results in lower intrinsic noise than infrequent transcription followed by efficient translation (**bottom**).

Dunlop *et al.*, used stochastic modelling and differentiated extrinsic noise which is global to all measured genes from intrinsic noise that leads to genes fluctuating independently from each others [29]**. Their construct was done by using the bacteriophage λ CI repressor fused to yellow fluorescent protein (YFP). CI represses the production of red fluorescent protein (RFP) which is fused to the λ Pr promoter. On the same plasmid, a gene encoding cyan fluorescent protein (CFP) was fused to a constitutive promoter that is independent of CI repressor as a control of extrinsic noise. Thereby, CFP was expressed at homogeneous level among cells whereas a strong anticorrelation was observed between CI-YFP and RFP meaning that the RFP concentration is inversely proportional from YFP concentration over time. Mathematical modelling revealed that with only extrinsic noise, these three signals were positively correlated although YFP represses RFP. On the other hand, with only intrinsic noise, the repression of RFP by YFP leads to an anticorrelation between the two genes. Thus, in regard of such synthetic system introduced into *E.coli*, intrinsic noise is preponderant to extrinsic noise.

These observations highlight that the rate of expression of a given gene is largely influenced by the level of expression of upstream transcription factors that are themselves subject to transcriptional bursting. Thus, noise propagates from one gene to downstream target genes.

Feedback loop

The topology of regulatory circuits can sometimes reduce noise propagation. It was shown in some bacteria that noise associated with positive feedback could create phenotypic heterogeneity [30 - 32]. It has been shown among an isogenic population of *Mycobacterium tuberculosis* that such association could create a fraction of cells that are resistant to some stresses such as oxygen or nutrient deprivation making the pathogens able to survive in a latent state. In mycobacteria, the expression of *rel*, a protein involved in stress response, initiates a stringent response leading to persistence. Sukera *et al.*, observed that *rel* expression is bistable meaning that there are two stable expression states for *rel* gene, low and high [32]*. Gene expression noise plays an important role in driving the transition from low to high expression state. Thus, the combination of positive feedback and noise can have positive effects on the evolution of a population.

In *Bacillus subtilis*, Maamar *et al.*, took an interest on the auto-stimulatory positive feedback loop in which ComK proteins, encoded by the *comK* gene, promote their own production [30]**. That protein is involved in the competence of *B.subtilis* allowing them to uptake foreign DNA that increases their fitness. Uptaking foreign DNA in stress conditions increases the probability to get a resistant gene. ComK expression is also bistable; in one state the expression of ComK protein is low and the positive autoregulatory loop is

not activated, in the other state, ComK concentration exceeds a critical threshold that activates the positive loop. That transition occurs during the stationary phase of growth. The authors demonstrated that intrinsic noise arising from that gene was responsible to the transition to competence due to large fluctuations at stationary phase that activate the positive feedback loop. Decreasing intrinsic noise in *comK* expression leads to a dramatic decrease of competent cells.

Conversely, negative feedback loops have been showed to decrease transcriptional noise [33, 34]**.

Conclusion

Along this paper, we review some characteristics of noise. We put in evidence the existence of two types of genes; those encoding proteins forming multicomponent complexes, or dosage-sensitive genes and essential ones that tend to have low gene expression noise, and stress-related genes responding to changes in the environment that exhibit high noise level. In the latter case, the variability in protein content among cells can confer a selective advantage.

Nevertheless, noise can be detrimental to organism fitness. For instance, in diseases linked to haploinsufficiency, increasing intrinsic noise could result in a total loss of function as presumed in the case of the tumour suppressor gene *NF1* [35]. Another example is the onset of autosomal dominant diseases that could emerge later than on birth by the increasing of noise at the protein level [36]**. The study of noise in gene expression could also help us to better understand why, in cancer, such particular mutations not always lead to the development of the disease. Thereby, Ansel *et al.*, proposed to revisit the interpretation of incomplete penetrance because in cases of pathologies triggered by single-cells, mutations could lead to an increase in stochastic fluctuations allowing the emergence of some deviant phenotypic cells [12]*.

Finally, low noise level could have been selected for some genes in order to prevent harmful stochastic variations that could be deleterious for cells. In return, that phenomenon limits the ability of these genes to respond to perturbations. Nevertheless, overall stochastic fluctuations are probably one of the main ways, along with genetic mutations, that evolution has found to derive beneficial population diversity.

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